Reference List and Citations Style Guide

For MDPI Humanities and Social Sciences Journals

HSS Team
Beijing, March 2017
v.1.0
Preface

This guide is prepared specifically for people who edit the Humanities and Social Sciences Journals’ manuscripts that will be published by MDPI. There are two parts covered in this guide:

Part I: An in-text citation.
Provides the “Author-Date” format of all the references mentioned in the main text.

Part II: A reference list.
Provides the information necessary for a reader to locate and retrieve any source you cite in the body of the paper. Each source you cite in the paper must appear in your reference list; likewise, each entry in the reference list must be cited in your text.

Most rules given in this style guide are collected from “The Chicago Manual of Style (16th Edition!)”. For more details and more examples, see Chapters 14 and 15 of The Chicago Manual of Style (University of Chicago Press 2010) (Please note the MDPI Chicago layout guide is an adapted version of The Chicago Manual of Style, some changes were made to cooperate with the online publishing method).

The style guide aims to provide layout formatting instructions for MDPI Humanities and Social Sciences Journals.

This style guide does not pretend to be either complete or neutral. It is intended to address some common errors and define the general criteria in MDPI publications.

Our thanks go to each of the copy editors who have given advice. Thank Jie Gu for providing the draft of this style guide. Thanks also to those at the editorial offices (and other MDPI departments) who have provided valuable comments regarding this style guide. We look forward to receiving further suggestions for improving the guide.

Humanities and Social Sciences Team

Update Notes:

This version has been edited in 2017 for MDPI Humanities and Social Sciences Journals.
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Part I. An in-text citation

1. The Scope of This Part

This Part describes the Chicago system of documentation, which uses parenthetical author-date references.

2. The Author-Date System—Overview

All the references mentioned in the text should be cited in the “Author-Date” format, and be listed separately and as the last section at the end of the manuscript, including references in text and those in tables, figures, and other non-text components.

3. Text Citations—Basic Form

An author-date citation in running text or at the end of a block quotation consists of the author’s last (family) name, followed by the year of publication of the work in question, and a page number if needed. In this context, author may refer not only to one or more authors or an institution but also to one or more editors, translators, or compilers. No punctuation appears between author and date. Abbreviations such as ed. or trans. are omitted.

Text citations:

(Tagliacozzo 2013)

References:


Two or more works by different authors with the same last name are listed in a reference list, the text citation must include an initial (or two initials or a given name if necessary).

Text citations:

(C. Doershuk 2010)
(J. Doershuk 2009)

References:

Doershuk, Carl. 2010…
Doershuk, John. 2009…

Two or more works by the same author in the same year must be differentiated by the addition of a, b, and so forth (regardless of whether they were authored, edited, compiled, or translated), and are listed alphabetically by title. Text citations consist of author, year and letter.
(Fogel 2004b)
(Fogel 2004a)

4. Author-Date References—Examples and Variations

The examples that follow are intended to provide an overview of the author-date system, featuring books and journal articles as models. Each example includes a corresponding text citation and a reference list entry. For the sake of consistency, text citations are presented in parentheses, though they do not always appear that way in practice.

Book with Single Author or Editor

For a book with a single author, invert the name in the reference list; in the text, include only the last name.

Text citations:

(Pollan 2006)

Reference list entries:


A book with an editor in place of an author includes the abbreviation ed. (editor; for more than one editor, use eds.). Note that the text citation does not include ed.

(Woodward 1987)


Book with Multiple Authors

For a book with two authors, only the first-listed name is inverted in the reference list.

(Ward and Burns 2007)


For a book with three or more authors, include all the authors in the reference list entry. Word order and punctuation are the same as for two or three authors. In the text, however, cite only the last name of the first-listed author, followed by et al.

(Barnes et al. 2008)
Book with Author Plus Editor or Translator

In the reference list, do not abbreviate Edited by or Translated by.

(Gould 1984)


Journal Article

Citations of journals include the volume and year of publication. The volume number follows the italicized journal title in roman and with no intervening punctuation. A specific page reference is included in the text; the page range for an article is included in the reference list, preceded by a colon.

Text citations:

(Schuman and Scott 1987)

References list entries:


For citations of journals consulted online, Chicago recommends the inclusion of a DOI or a URL. Note that DOI, is lowercased and followed by a colon (with no space after) in source citations.

(Kaufman 2011)


5. Page and Volume Numbers or Other Specific Locators in Text Citations

When a specific page, section, equation, or other division of the work is cited, it follows the date, preceded by a comma.

(Piaget 1980, p. 74)
(LaFree 2010, pp. 413, 417–18)
(Johnson 1979, sect. 24)
(Fowler and Hoyle 1965, eq. 87)
(GarcÃa 1987, vol. 2)
(Smith 2001, chp. 1)
(Watson 2008, l. 3)
(Watson 2008, ll. 88–90)
(Lawrence 1999, para. 3–5)
(USCCB 1986, #14)
6. Text Citations in Relation to Surrounding Text and Punctuation

Author-date citations are usually placed just before a mark of punctuation.

Recent literature has examined long-run price drifts following initial public offerings (Ritter 1991; Loughran and Ritter 1995), stock splits (Ikenberry and Stice 1996), seasoned equity offerings (Loughran and Ritter 1995), and equity repurchases (Ikenberry and Vermaelen 1995).

Where the author’s name appears in the text, it need not be repeated in the parenthetical citation. Note that the date should immediately follow the author’s name. But this does not apply when the author full name is given in the main text.

Fiorina et al. (2005) and Fischer and Hout (2006) reach more or less the same conclusions. In contrast, Abramowitz and Saunders (2005) suggest that the mass public is deeply divided between red states and blue states and between churchgoers and secular voters.

The examples that interest me, and that will be discussed here, are embedded narratives by two non-human characters, the Puma and the Falcon, whom I will treat as intradiegetic narrators, using a term from Gerard Genette (Genette 1988), and William Nelles (Nelles 1997), among others. Apart from the fact that these embedded narratives are lengthy and very noticeable in the text.

7. Multiple Text References

Two or more references in a single parenthetical citation are separated by semicolons. The order in which they are given may depend on what is being cited, and in what order, or it may reflect the relative importance of the items cited.

(Armstrong and Malacinski 1989; Beigl 1989; Pickett and White 1985)

8. The Use of “ibid.” with Subsequent In-text Citations

If a second passage from the same source is quoted close to the first and there is no intervening quotation from a different source, ibid. (set in roman) may be used in the second parenthetical reference (e.g., “ibid., p. 114”); ibid. alone may be used if the reference is to the same page. Avoid overusing ibid.: for more than the occasional repeated reference to the same source—as in an extended discussion of a work of fiction—only a parenthetical page number is necessary. If a quotation from another source has intervened, a shortened reference may be given (e.g., “Hawking 2014, p. 114”). For more on shortened citations, see 14.24–31; for the use of ibid. in notes, see 14.29.
Part II. A reference list

9. Function and Placement of Reference Lists

In the author-date system, the reference list is the prime vehicle for documentation. A reference list, like other types of bibliographies, is normally placed at the end of a work.

10. Alphabetical Arrangement of Reference List Entries

A reference list is always arranged alphabetically. All sources are listed by the last names of the authors and listed individually at the end of the manuscript. We recommend preparing the references with a bibliography software package, such as EndNote, ReferenceManager or Zotero to avoid typing mistakes and duplicated references.

11. Author Information

- First names may be given in full in place of initials. If an author uses his or her given name in one cited book and initials in another (e.g., “Mary L. Jones” versus “M. L. Jones”), the same form, preferably the fuller one, should be used in all references to that author.
- For authors who always use initials, full names should not be supplied, for example, T. S. Eliot, M. K. Fisher, O. Henry (pseud.), P. D. James, C. S. Lewis, J. D. Salinger, H. G. Wells. Note that a space is added between initials.
- Authors known only by their given names (i.e., and not by any surname) are listed and alphabetized by the given name. Such titles as “King” or “Saint” are omitted, such as Augustine.
- If an author’s real name is not known, pseud. (roman, in brackets) may follow the name. A widely used pseudonym is generally treated as if it were the author’s real name, such as George Eliot.
- If a publication issued by an organization, association, or corporation carries no personal author’s name on the title page, the organization is listed as author in a reference entry, even if it is also given as publisher.
- If the author or editor is unknown, the reference entry should begin with the title.

12. Publisher, Place and Date Information

Because the text citations consist of the last name of the author or authors (or that of the editor or translator) and the year of publication, the year in the reference list appears directly after the name, not with the publication details. This arrangement facilitates easy lookup of reference list entries.

- When the publication date of a printed work cannot be ascertained, the abbreviation n.d. takes the place of the year in the publication details. A guessed-at date may either be substituted (in brackets) or added. (Edinburgh [1750?]) or (Edinburgh n.d., ca. 1750).
• A work for which no publisher, place, or date can be determined or reasonably guessed at should be included in a reference only if accompanied by the location where a copy can be found (e.g., “Two copies in the Special Collections Department of the University of Chicago Library”).

• For any works under contract, the term *forthcoming* is used in place of the pagination.

13. Reprint Editions and Modern Editions—More Than One Date

When the original date is less important to the discussion, use the date of the modern source. The date of original publication may be included at the end of the reference list entry but need not be.

The original date is rendered as square brackets in the in-text citation.

(Trollope [1866] 1977)

(Trollope [1869] 1983)


14. “Forthcoming” in Author-Date References

When a book is under contract with a publisher and is already titled, but the date of publication is not yet known, *forthcoming* is used in place of the date. In text citations, forthcoming is preceded by a comma. Although *in press* is sometimes used (strictly speaking for a printed work that has already been typeset and paginated), Chicago recommends the more inclusive term, which can also be used for nonprint media, for any work under contract. If page numbers are available, they should be given. Books not under contract are treated as unpublished manuscripts (see 14.224--46).

(Faraday, forthcoming)


If an article has been accepted for publication by a journal but has not yet appeared, *forthcoming* stands in place of the year and the page numbers. Any article not yet accepted should be treated as an unpublished manuscript (see 14.228). If an article is published by a journal electronically ahead of the official publication date, use the posted publication date. In such cases, information about pagination may not yet be available.

15. **Newspapers and Magazines in Reference Lists**

It is usually sufficient to cite newspaper and magazines articles entirely within the text—a strategy that is identical in form in both systems of citation. See 14.206. If, for some reason, a reference list entry is needed, the year of publication is separated from the month and day (if any).


16. **Unpublished Manuscripts and Working Papers**

Include the term *unpublished manuscript* and the date of the version consulted, if possible (for electronic files, a last-saved or last-modified date may be appropriate).


17. **“Quoted In” in Author-Date References**

To cite a source from a secondary source (“quoted in…”) is generally to be discouraged, since authors are expected to have examined the works they cite. If an original source is unavailable, however, both the original and the secondary source must be listed.

18. Agreement of Text Citation

For each author-date citation in the text, there must be a corresponding entry in the reference list under the same name and date. It is the author’s responsibility to ensure such agreement as well as the accuracy of the reference. Manuscript editors can help authors by cross-checking text citations and reference lists and rectifying or querying any discrepancies or omissions.

19. Common Types of References

19.1. Books

Format:

Author 1, Author 2, … Author 9, Author 10, and et al.¹. Year. Title of the Book. City: Publisher, Page range (optional).

19.1.1. Chapter in an Edited Book

Format:

Chapter Author. Year. Chapter Title. In Title of the Book. Edited by editor name². City: Publisher, Page range (optional).

Example:


When an edition other than the first is used or cited, the number or description of the edition follows the title in the listing. Such wording as Second Edition, Revised and Enlarged is abbreviated simply as 2nd ed.; Revised Edition (with no number) is abbreviated as rev. ed. Other terms are similarly abbreviated.

Examples:


¹ For works by or edited by less than ten persons, all names are usually given in the reference entry. Use the conjunction — and (not an ampersand) before the last name. For works that have more than ten authors or editors, only the first ten should be listed, and followed by et al.

² Translator, compiler or such information is also shown in this position. Use translated by, compiled by, or with the assistance of, etc. in reference entry.
19.1.2. Copublication

When books are published simultaneously (or almost so) by two publishers, usually in different countries, only one publisher need be listed—the one that is more relevant to the users of the citation.


19.1.3. Multiple Works

When a multivolume work is cited as a whole, the total number of volumes is given after the title of the work (or, if an editor as well as an author is mentioned, after the editor’s name). If the volumes have been published over several years, the dates of the first and last volumes are given, separated by an en dash.

Examples:


19.1.4. Original Plus Published Translation

A published translation is normally treated as illustrated in 14.88. If, for some reason, both the original and the translation need to be cited, either of the following forms may be used, depending on whether the original or the translation is of greater interest to readers:


19.1.5. Citing a Particular Volume in a Bibliography

If only one volume of a multivolume work is of interest to readers, it may be listed alone in a bibliography in the following way:

The publication date (or date range; see 14.122) should normally correspond to the last-mentioned title. See also 14.126, 14.151.

19.1.6. Place and Date only

For books published before 1900, it is acceptable to omit publishers’ names and to include only the place and date of publication. A comma, not a colon, follows the place. See also 14.138, 14.143.


19.1.7. Books on CD-ROM and Other Fixed Media

Citations of books on CD-ROM and other fixed media should carry an indication of the medium. Example:


19.2. Journal Article

Format:

Author 1, Author 2, and Author 3, … Author 8, Author 9, Author 10, and et al. Year. Title of the Article. *Journal Name* Volume: 3 Page range. DOI, URL or the form of publication (optional).

Author 1, Author 2, and Author 3, … Author 8, Author 9, Author 10, and et al. Year. Title of the Article. *Journal Name*, Page range. DOI, URL or the form of publication (optional).

Author 1, Author 2, and Author 3, … Author 8, Author 9, Author 10, and et al. Year. Title of the Article. *Journal Name*, Volume. DOI, URL or the form of publication (optional).

Example:


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3 When only a date is available, it becomes an indispensable element and should therefore not be enclosed in parentheses; a comma follows the journal title and the date. e.g., Saberhagen, Kelvin. 1928. Lake Superior Beluga? *Sturgeon Review*: 21–45.
19.2.1. Supplements

A journal supplement, unlike a special issue (see 14.187), is numbered separately from the regular issues of the journal. Like a special issue, however, it may have a title and author or editor of its own.


19.2.2. Special Issues

A journal issue (occasionally a double issue) devoted to a single theme is known as a special issue. It carries the normal volume and issue number (or numbers if a double issue). Such an issue may have an editor and a title of its own. An article within the issue is cited as in the first example; a special issue as a whole may be cited as in the second example.


19.2.3. Theses and Dissertations

The kind of thesis, the academic institution, and the date should follow the title. Give identification number, URL or other available information after the facts of publication.

Example:


Choi, Mihwa. 2008. Contesting Imaginaires in Death Rituals during the Northern Song Dynasty. Ph.D. dissertation, University of Chicago, Chicago, IL, USA.

19.2.4. Lectures, Papers Presented at Meetings, and the Like

The information of sponsorship, location, and date of the meeting at which a speech was given or a paper presented should follow the title.
Example:


19.2.5. Bible Chapter and Verse or Other Sacred Works

References to the Jewish or Christian scriptures usually appear in text in parentheses or as footnotes rather than in references, include book (in roman and usually abbreviated), chapter, and verse—never a page number, and spell out the version on at least the first occurrence. A colon is used between chapter and verse.

Example:


19.2.6. Classical or Medieval References

Examples:

Augustine. *De civitate Dei* 20.2.


*Beowulf*, lines 2401–7.


19.2.7. Personal Communications

References to conversations (whether face-to-face or by telephone) or to letters, e-mail or text messages, and the like received by the author are usually run in to the text or given in a note. They are rarely listed in a bibliography. For references to electronic mailing lists, see 14.223. See also 13.3.

In a telephone conversation with the author on January 6, 2009, lobbyist Pat Fenshaw admitted that . . .

Constance Conlon, 2000, e-mail message to author, April 17.

An e-mail address belonging to an individual should be omitted. Should it be needed in a specific context, it must be cited only with the permission of its owner. For breaking an e-mail address at the end of a line, see 7.42.
19.2.8. Historical Archives

Booth Tarkington to George Ade, 8 May 1924, Box 10, Folder 5, George Ade Papers 1878-2007, The Virginia Kelly Karnes Archives and Special Collections Research Center, Purdue University Libraries.

19.2.9. DVDS and Videocassettes


19.2.10. Online Multimedia

Citations of online multimedia must thoroughly identify the material cited by incorporating the elements discussed and exemplified throughout this section; it is never enough simply to cite an electronic file name or URL, though this information should be included as well. If no date can be determined from the source, include the date the material was last accessed. (See also 14.4–13.) If the material is a recording of a speech or other performance, or if it is a digital version of a published source, include information about the original performance or source. Whether to list information about the original or the digitized copy first will depend on the information available and is usually up to the author. Include an indication of the source type (e.g., “video”) and length. Note the idiosyncratic capitalization and spacing in the second example, preserved as an aid to identifying the source; this approach, if used at all, should be reserved for sources that have no ties to any publishing body (and any outright errors should be indicated in the text or note; see also 13.59). See also 14.277, 14.198. For an example of a podcast, see 14.221.


19.2.11. Publisher Unknown or Work Privately Published

When the publisher is unknown, use just the place (if known) and date (see 14.134). Privately printed works should be cited with as much information as is known (e.g., Topeka: privately printed, or, if applicable, Topeka: printed by author).
19.2.12. Pamphlets, Reports, and The Like

Pamphlets, corporate reports, brochures, and other freestanding publications are treated essentially as books. Data on author and publisher may not fit the normal pattern, but sufficient information should be given to identify the document. For special issues of journals, see 14.187. For access dates, see 14.7. For the use of ampersands in company names, see 10.23.


19.2.13. Sound Recording


19.2.14. Software

Author. Year Published. *Title* (version Issue number). Format. City: Publisher.

Example:


19.2.15. Unpublished Interviews

Unpublished interviews are best cited in text or in notes, though they occasionally appear in bibliographies. Citations should include the names of both the person interviewed and the interviewer; brief identifying information, if appropriate; the place or date of the interview (or both, if known); and, if a transcript or recording is available, where it may be found. Permission to quote may be needed; see chapter 4.

Last Name, First Name. Interview by First Name Last Name. Interview Type. Location, Date Interviewed.

Macmillan, Andrew (principal adviser, Investment Center Division, FAO), in discussion with the author, September 1998.

Spock, Benjamin, interview by Milton J. E. Senn, 20 November 1974, interview 67A, transcript, Senn Oral History Collection, National Library of Medicine, Bethesda, MD.

Macmillan, discussion; Spock, interview.

Footnotes

Use footnotes (not endnotes) sparingly, numbered consecutively throughout the article, using superscript Arabic numbers. Take the three notes in this file as examples.

EndNote®

We recommend the use of the reference management software EndNote® (http://www.endnote.com) to prepare the references list. A template entitled —MDPI Chicago Style can be obtained from a journal’s editorial office.

References


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